

(To be completed in duplicate)

NO.-----



ATACH RECENT PASSPORT PHOTOGRAPH DULY SIGNED BY THE APPLICANT
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**REGISTRATION FORM FOR DIRECTORS AND CHIEF EXECUTIVES OF  
BROKER DEALER MEMBER**

**NOTE:**

- i) This form is issued under the authority of the Nigeria Commodity Exchange Plc and is subject to its Rules, Regulations and the Investment and Security Act 2007 (as amended).
- ii) All claims must be supported with appropriate evidence dully certified by the Chief Executive Officer and one other Director, the Commissioner for Oaths and/or any other Relevant Authority.
- iii) The form must be completed, type written and in duplicate.

**ATTACHMENTS:**

The following documents should be forwarded with this form:

- (i) Evidence of current registration with the Securities and Exchange Commission (SEC)
- (ii) A detailed C.V of the applicant

**SECTION A-PERSONAL DATA**

Name: .....

Date and Place of Birth .....

Nationality.....

Contact Address.....

Residential Address .....

.....

**1. EDUCATIONAL INSTITUTIONS ATTENDED WITH DATES:**

<b>S/N</b>	<b>NAME OF INSTITUTION ATTENDED</b>	<b>FROM</b>	<b>TO</b>

**2. CERTIFICATES OBTAINED WITH DATES:**

<b>S/N</b>	<b>CERTIFICATE/DIPLOMA/DEGREES OBTAINED AND GRADE</b>	<b>COURSE OF STUDY</b>	<b>DATE</b>

**3. PROFESSIONAL QUALIFICATIONS OBTAINED WITH DATES:**

<b>S/N</b>	<b>PROFESSION</b>	<b>CERTIFICATE OBTAINED</b>	<b>DATE</b>

## SECTION C -SHAREHOLDING

LIST OF VENTURES IN WHICH YOU HOLD SUBSTANTIAL SHARES (i.e. 5% AND ABOVE) AND THE VALUE OF SHARES HELD

S/N	NAME OF COMPANY	ADDRESS	VALUE OF SHARES

## SECTION D-REFEREES

One of the referees to be stated hereunder must be your banker with whom you have been banking for at least two (2) years.

S/N	FULL NAME	BUSINESS ADDRESS	RESIDENTIAL ADDRESS	YEARS KNOWN

**SECTION F-AFFIDAVIT**

I .....  
(Name in full)

of .....  
(Address)

hereby make oath and state as follows:

(1) that I am.....the applicants  
(Name in full)

herein seeking registration with the Nigeria Commodity Exchange Plc.

(2) that I have read and understood the questions in this application form as well as the answers I have given and that to the best of my knowledge and belief, all the statement of fact made therein, and in the attachment hereto are true.

.....  
**(DEPONENTS)**

**SWORN to at the ----- court this -----day of -----**

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**Commissioner for Oaths**

**SPONSORING FIRMS CERTIFICATION**

We hereby certify that the particulars rendered above by the applicant Mr./Mrs./Ms..... Whose signature is appended below are to the best of our knowledge true and correct and we undertake to notify the Nigeria Commodity Exchange Plc in writing of any material change therein as prescribed by the Declaration Act.

.....  
**APPLICANT'S SIGNATURE**

**NAME OF SPONSORING FIRM.....**

.....  
**SIGNATURE OF MANAGING DIRECTOR OR COMPANY SECRETARY**